

PATENT

ATTORNEY DOCKET NO. ROBU.003.01US

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of: Jens-Ulrich Bülow

Serial No.: 09/498,537

Filed: February 4, 2000

For: **HUMAN POLYCLONAL ANTIBODIES
FROM GENETICALLY ENGINEERED
ANIMALS**



) Examiner: Not Yet Assigned

) Art Unit: Not Yet Assigned

) **VERIFIED STATEMENT**
) **(DECLARATION) CLAIMING**
) **SMALL ENTITY STATUS**
) **(37 C.F.R. §§ 1.9(f) & 1.27(b))**
) **INDEPENDENT INVENTOR**

Assistant Commissioner for Patents
Washington, D.C. 20231

Sir:

As a below-named inventor, I hereby declare that I qualify as an independent inventor as defined in 37 C.F.R. 1.9(c) for purposes of paying reduced fees to the Patent and Trademark Office described in:

☐ the specification filed herewith with title as listed above.

☒ the application identified above.

☐ the patent identified above.

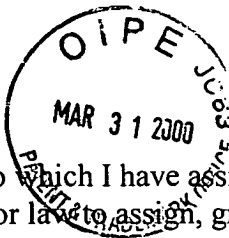
I have not assigned, granted, conveyed or licensed and am under no obligation under contract or law to assign, grant, convey or license, any rights in the invention to any person who would not qualify as an independent inventor under 37 C.F.R. 1.9(c) if that person had made the invention, or to any concern which would not qualify as a small business concern under 37 C.F.R. 1.9(d) or a nonprofit organization under 37 C.F.R. 1.9(e).

CERTIFICATE OF FIRST CLASS MAILING

I hereby certify that correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to the Assistant Commissioner for Patents, Washington, D.C. 20231 on 3/24/00

Signature Marion Foster

Printed Name MARION FOSTER



Each person, concern or organization to which I have assigned, granted, conveyed, or licensed or am under an obligation under contract or law to assign, grant, convey, or license any rights in the invention is listed below:

- ☒ [X] No such person, concern, or organization exists.
- ☐ [] Each such person, concern or organization is listed below.

Name:
Address:

Separate verified statements are required from each named person, concern or organization having rights to the invention averring to their status as small entities. (37 C.F.R. 1.27)

I acknowledge the duty to file, in this application or patent, notification of any change in status resulting in loss of entitlement to small entity status prior to paying, or at the time of paying, the earliest of the issue fee or any maintenance fee due after the date on which status as a small entity is no longer appropriate. (37 C.F.R. 1.28(b))

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under § 1001 of Title 18 of the United States Code, and that such willful false statement may jeopardize the validity of the application, any patent issuing thereon, or any patent to which this verified statement is directed.

Name of Inventor Dr. Jens-Ulrich Bülow
Signature of Inventor Dr. Bülow
Date 2.3.00